

## **ATTACHMENT A.1**

### **NOTICE OF OPTING OUT OF THE COMMUNITY SERVICE PROVISION**

**This notice is given pursuant to Section 402(a)(1)(B)(iv) of the Social Security Act, as amended by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996. This is written notice that Iowa continues to choose to opt out of the provision that requires a parent or caretaker receiving assistance to participate in community service employment after receiving assistance for two months, when not exempt from work requirements and not engaged in work, as determined under Section 407(c).**

**However, it is important to note the following:**

- Iowa currently provides unpaid community service as an option of the Family Investment Agreement under the PROMISE JOBS program.**
- Unpaid community service is appropriate as a method of gaining employability for recipients who are not able to immediately move into paid employment.**
- It is appropriate to expect individuals to seek other work-related opportunities where paid employment is not available and unpaid community service is reasonable.**
- It is possible that Iowa may expand the use of unpaid community service in the future or may make changes to mandate community service for certain recipients in the future.**

**However, mandating unpaid community service as provided in Section 402(a)(1)(B)(iv) of the Social Security Act does not appear to be consistent with Iowa's current Family Investment Program or as it may potentially be changed in the future. Therefore, I am notifying you of opting out of the provision at this time.**

**CERTIFIED BY THE CHIEF EXECUTIVE OFFICER OF THE STATE:**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chester J. Culver, Governor  
State of Iowa

## **ATTACHMENT B**

### **◆ State Plan Certifications ◆**

This has been designed to enable the Chief Executive Officer of a State to certify that the State will operate its Temporary Assistance for Needy Families (TANF) program in accordance with the statutory requirements in section 402(a)(2) through (7).

## **CERTIFICATIONS**

The State will operate a program to provide Temporary Assistance for Needy Families (TANF) so that children may be cared for in their own homes or in the homes of relatives; to end dependence of needy parents on governments benefits by promoting job preparation, work, and marriage; to prevent and reduce the incidence of out-of-wedlock pregnancies and establish annual numerical goals for preventing and reducing the incidence of these pregnancies; and encourage the formation and maintenance of two-parent families.

Executive Officer of the State: Chester J. Culver, Governor, State of Iowa.

In administering and operating a program that provides Temporary Assistance for Needy Families with minor children under title IV-A of the Social Security Act, the State will:

1. Specify which State agency or agencies will administer and supervise the program under part A in all political subdivisions of the State.

The Iowa Department of Human Services is the agency with primary responsibility for administering the program.

The Iowa Department of Human Rights is a TANF sub-grantee agency responsible for administering the Family Development and Self-Sufficiency Program supportive program.

2. Assure that local governments and private sector organizations:
  - (a) Will be consulted regarding the plan and design of welfare services in the State so that services are provided in a manner appropriate to local populations; and
  - (b) Will have at least 45 days to submit comments on the plan and the design of such services.
3. Operate a Child Support Enforcement program under the State plan approved under part D.
4. Operate a Foster Care and Adoption Assistance program in accordance with part E, and take all necessary actions to ensure that children receiving assistance are eligible for medical assistance.
5. Provide each member of an Indian tribe, who is domiciled in the State and is not eligible for assistance under a Tribal Family Assistance plan approved under Section 412, with equitable access to assistance under the State program funded under this part attributable to funds provided by the Federal Government.

**Certifications**

6. Establish and enforce standards and procedures to ensure against program fraud and abuse, including standards and procedures concerning nepotism, conflicts of interest amount individuals responsible for the administration and supervision of the State program, kickbacks, and the use of political patronage.
7. Make available to the public a summary of the State plan.
8. Claim maintenance of effort (MOE) expenditures only for families that meet the State's criteria for "eligible families" as defined in this State plan.

**OPTIONAL CERTIFICATION**

[X ] The State has established and is enforcing standards and procedures to:

- (1) Screen and identify individuals receiving assistance under this part with a history of domestic violence while maintaining the confidentiality of such individuals;
- (2) Refer such individuals to counseling and supportive services; and
- (3) Waive, pursuant to a determination of good cause, other program requirements such as time limits (for as long as necessary) for individuals receiving assistance, residency requirements, child support cooperation requirements, and family cap provisions, in cases where compliance with such requirements would make it more difficult for individuals receiving assistance under this part to escape domestic violence or unfairly penalize such individuals who are or have been victimized by such violence, or individuals who are at risk of further domestic violence.

(Refer to (a)(7) of the TANF State Plan for detail on implementation of these waivers in Iowa.)

Note: Iowa does not have a family cap provision. In addition, Iowa has never treated families moving into Iowa from another state differently than other families under its TANF programs, even prior to the United States Supreme Court decision: *Rita L. Saenz, Director, California Department of Social Services, et al., Petitioners v. Brenda Roe and Anna Doe, etc.*, 119 S.Ct. 1518 (1999), which prohibits such treatment. Waivers are therefore not applicable to these provisions.

Certifications

**CERTIFIED BY THE CHIEF EXECUTIVE OFFICER OF THE STATE:**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Chester J. Culver, Governor  
State of Iowa

## **ATTACHMENT C**

### **◆ Funding ◆**

## FUNDING

Section 403(a)(1)(A) provides that each eligible State shall be entitled to receive for each of the federal fiscal years 1996 through 2002, a grant in an amount equal to the State family assistance grant as defined in section 403(a)(1)(B). Since FY 2002 funding has been provided to states at the same amount through a series of continuing resolutions.

### **I. Payments to Agency Administering the TANF Program**

- Payments for the TANF program will be made to the organization managing the AFDC/JOBS programs as of August 22, 1996, unless the State indicates that the TANF administering agency is changed. If a change is made, describe the name, address and EIN number of the new organization.

### **II. State Payments for TANF Program**

- Section 405 requires that grants be paid to States in quarterly installments, based on State estimates. The State's estimate for each quarter of the fiscal year by percentage is:

For FY 2011 and Future Years

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
25%	25%	25%	25%
_____	_____	_____	_____

For FY 2007 through 2010

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
25%	25%	25%	25%
_____	_____	_____	_____

FY 2002 through 2006

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
25%	25%	25%	25%
_____	_____	_____	_____

For FY 2001

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
22%	22%	29%	27%
_____	_____	_____	_____

For FY 1998 through FY 2002

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
25%	25%	30%	20%
_____	_____	_____	_____

- For FY 1997, States requested the percentage of TANF funds only for those quarters in which they planned to operate the program.

For FY 1997

<u>1st quarter</u>	<u>2nd quarter</u>	<u>3rd quarter</u>	<u>4th quarter</u>
0%	30%	30%	25%
_____	_____	_____	_____



## **ATTACHMENT D**

### **◆ Substantive Changes in State Plan ◆**

## **Substantive Changes in State Plan**

This State plan is submitted as a “new” plan for purposes of renewing the state’s eligibility for federal funding under part A, Title IV, of the Social Security Act. Substantive changes to the prior State TANF plan are identified below.

1. Removes reference to the Summer Youth Program. This TANF and state MOE funded subsidized employment program was administered by the state’s Iowa Workforce Development (IWD) agency.
2. Updates the structure of the Department from one to two Deputy Directors. New Table of Organization in effect July 2010 is attached.
3. Includes a new time limited Third-Party MOE program.
4. Includes a new TANF-funded program: Promoting the Benefits of a Healthy Marriage. The new TANF-funded program is the vehicle for expanding categorical eligibility for Iowa’s Food Assistance Program (funded through USDA Supplemental Nutritional Assistance Program).
5. Attachment G: Procedures for Displacement Complaints reference and language has been updated.

## **ATTACHMENT E**

### **◆ Regulatory State Plan Elements ◆**

## **Regulatory State Plan Elements**

Federal interim regulations effective June 29, 2006, provide for the following elements in the State TANF plan. All items below have been incorporated into this State plan.

### **Required Elements.**

45 CFR 263.2(b) requires that the state define “eligible family” for programs claimed for maintenance of effort purposes.

### **Optional Elements.**

- 1 CFR 261.56(b)(2)(iii) requires that the state submit the criteria and definitions it has developed to determine when a custodial parent with a child under age six has demonstrated an inability to obtain needed child care and is subsequently exempt from work requirement sanctions. Iowa is submitting this information in this State TANF plan.
- 2 As provided for by 45 CFR 265.9(d), the state is opting to include the following elements in this State plan as an alternative to providing this information in its annual report:
  - 45 CFR 265.9(b) Each State must provide the following information on the TANF program: The numbering below corresponds to the specific numbered items from 265.9(b) that are addressed in this State plan.
    - (1) The State's definition of each work activity;
    - (2) A description of the transitional services provided to families no longer receiving assistance due to employment;
    - (3) A description of how a State will reduce the amount of assistance payable to a family when an individual refuses to engage in work without good cause pursuant to 45 CFR 261.14;
    - (5) If the State has adopted the Family Violence Option and wants Federal recognition of its good cause domestic violence waivers under subpart B of part 260 of this chapter, a description of the strategies and procedures in place to ensure that victims of domestic violence receive appropriate alternative services
    - (6) A description of any nonrecurrent, short-term benefits provided, including: (i) The eligibility criteria associated with such benefits, including any restrictions on the amount, duration, or frequency of payments; (ii) Any policies that limit such

## Regulatory Elements

payments to families that are eligible for TANF assistance or that have the effect of delaying or suspending a family's eligibility for assistance; and (iii) Any procedures or activities developed under the TANF program to ensure that individuals diverted from assistance receive information about, referrals to, or access to other program benefits (such as Medicaid and food stamps) that might help them make the transition from welfare to work;

(7) A description of the procedures the State has established and is maintaining to resolve displacement complaints, pursuant to section 407(f)(3) of the Act. This description must include the name of the State agency with the lead responsibility for administering this provision and explanations of how the State has notified the public about these procedures and how an individual can register a complaint;

(8) A summary of State programs and activities directed at the third and fourth statutory purposes of TANF (as specified at Sec. 260.20(c) and (d) of this chapter).

- 45 CFR 265.9(c) Each State must provide the following information on the State's program(s) for which the State claims MOE expenditures: The numbering below corresponds to the specific numbered items from 265.9(c) that are addressed in this State plan.

(1) The name of each program and a description of the major activities provided to eligible families under each such program;

(2) Each program's statement of purpose;

(3) If applicable, a description of the work activities in each separate State MOE program in which eligible families are participating;

(6) The eligibility criteria for the families served under each program/activity;

(7) A statement whether the program/activity had been previously authorized and allowable as of August 21, 1996, under section 403 of prior law;

(8) The FY 1995 State expenditures for each program/activity not authorized and allowable as of August 21, 1996, under section 403 of prior law (see Sec. 263.5(b) of this chapter); and

(9) A certification that those families for which the State is claiming MOE expenditures met the State's criteria for "eligible families".

## **ATTACHMENT F**

### **◆ Input From Local Governments and Private Sector Organizations ◆**

## **Input From Local Governments and Private Sector Organizations**

Pursuant to Section 402(a)(4) of the Social Security Act as amended by Deficit Reduction Act of 2005 (PL 109-171), this State plan has been posted for comment on the Department of Human Services web site at [http://www.dhs.state.ia.us/Consumers/Assistance\\_Programs/CashAssistance/TANFStatePlan.html](http://www.dhs.state.ia.us/Consumers/Assistance_Programs/CashAssistance/TANFStatePlan.html) as draft during the allowed 45 days to submit comments.

## **ATTACHMENT G**

### **◆ Procedures for Displacement Complaints ◆**



## Procedures for Displacement Complaints

Following is a description of the procedures in place for addressing displacement complaints as required by 45 Code of Federal Regulations (CFR) 265.9(b)(7).

**441—93.17(239B) PROMISE JOBS displacement grievance procedure.** The PROMISE JOBS program shall provide a grievance procedure to address and resolve public complaints regarding the displacement of regular workers with program participants in a work experience placement.

**93.17(1)** The procedure will provide that:

- a* Complaints must be filed in writing and received by the PROMISE JOBS contractee within one year of the alleged violation.
- b* A representative of the PROMISE JOBS service provider must schedule a face-to-face interview with the complainant within 7 days of the date the complaint is filed, to provide the opportunity for informal resolution of the complaint.
- c* Written notice of the location, date and time of the face-to-face interview must be provided.
- d* An opportunity must be provided to present evidence at the face-to-face interview.
- e* The representative of the PROMISE JOBS service provider shall issue a decision in writing within 14 days of the date a complaint is filed.
- f* A written explanation must be provided to all involved parties of the right to file a written appeal, according to 441—Chapter 7, if the opportunity for informal resolution is declined, if a party receives an adverse decision from the PROMISE JOBS contractee, or if there is no decision within the 14-day period.

(1) To be considered, an appeal must be filed with the department within 10 days of the mailing date of the adverse decision or within 24 days of the date a complaint is filed.

(2) An appeal hearing will not be granted until informal resolution procedures have been exhausted, unless a decision has not been issued within 90 days of the complaint filing date.

**93.17(2)** The department shall issue a final decision within 90 days of the date the complaint was filed with the PROMISE JOBS service provider.

**93.17(3)** Any dissatisfied party shall be informed of the right to appeal the decision of the department to the Secretary of Labor at Office of Administrative Law Judges, U.S. Department of Labor, Vanguard Building, Room 600, 111 20th Street N.W., Washington, DC 20036, within 20 days of the receipt of the department's final decision.

*a* For the purposes of this rule, the department's final decision shall be considered received the second day after the date that the written decision was mailed, unless the intended recipient can demonstrate that it was not received on the second day after the mailing date. When the second day falls on a Sunday or legal holiday, the time shall be extended to the next mail delivery date.

*b.* The option to appeal to the Secretary of Labor does not preclude an individual from exercising any right to judicial review as provided in Iowa Code chapter 17A or as described in 441—Chapter 7.

**93.17(4)** Upon notice of a complaint or grievance, the PROMISE JOBS office must provide the complaining party with a copy of the grievance procedures, notification of the right to file a formal complaint and instruction on how to file a complaint.

**93.17(5)** Upon filing a complaint, and at each stage thereafter, each complainant must be notified in writing of the next step in the complaint procedure.

**93.17(6)** The identity of any person who has furnished information relating to, or assisting in, an investigation of a possible violation must be kept confidential to the extent possible, consistent with due process and a fair determination of the issues.

**93.17(7)** All employers who participate in the PROMISE JOBS program shall provide assurances that all regular employees are aware of this grievance procedure.

## **ATTACHMENT H**

### **◆ Adolescent Pregnancy Prevention ◆**

## **Adolescent Pregnancy Prevention**

TANF funded Adolescent Pregnancy Prevention (APP) grant programs are operating in more than 46 counties across the state. In fiscal year 2010, 11,110 adolescents received education using science based proven effective curriculum. Grants are available to communities that have a proven track record in achieving results or that will utilize a proven method of service and must include abstinence education. Community pregnancy prevention boards prepare requests for grant funding and work with the statewide coalition EyesOpenIowa (formerly Futurennet) for service delivery, resources, training and technical assistance.

Community development programs developed by Community Adolescent Pregnancy Prevention grantees enlist schools, churches, businesses and community members in the development of financial resources and increased avenues for public education promoting abstinence and responsible sexuality in youth and young adults.

### **Community Adolescent Pregnancy Prevention Program Goals:**

Students exposed to Community Adolescent Pregnancy Prevention prevention programs will have increased knowledge of sexuality, improved communication skills (including skills to resist peer pressure and non-sexual risk factors) and enhanced behavioral predisposition to sexual abstinence.

Pregnant and parenting teens exposed to Community Adolescent Pregnancy Prevention intervention programs will have adequate access to prenatal care, well-child care and needed community resources (such as educational programs, employment training and career opportunities) to maximize their self-sufficiency. They also received education to prevent subsequent pregnancies.

**Goal 1:** Seventy-five percent of pre-adolescent youth exposed to Community Adolescent Pregnancy Prevention Programs will have increased self-esteem to resist peer pressure for premature sexual behavior.

**Goal 2:** Seventy-five percent of Junior High youth exposed to Adolescent Pregnancy Prevention Programs will report enhanced behavioral disposition to sexual abstinence.

**Goal 3:** Seventy-five percent of Senior High youth exposed to Adolescent Pregnancy Prevention programs will report increased communication skills to talk with partners, parents and others about responsible sexual expression.

**Outcomes reported by the Iowa Department of Health (IDPH) Bureau of Vital Statistics Adolescent Pregnancy Prevention:**

Adolescent pregnancies among females under age 20 declined by almost 11 in every 1,000 from 2001 to 2004. A slight increase of less than 1 birth per thousand occurred in 2005 – 2006. This small increase is congruent with what is being reported nationally. Whether the increase is statistically important or will be maintained is yet to be answered.

**Live Births to Mothers under age 20**

<b>Year</b>	<b>Births</b>	<b>Rate per 1,000 births</b>
<b>2001</b>	<b>3608</b>	<b>95.9</b>
<b>2002</b>	<b>3420</b>	<b>91.1</b>
<b>2003</b>	<b>3420</b>	<b>87.2</b>
<b>2004</b>	<b>3260</b>	<b>85.0</b>
<b>2005</b>	<b>3358</b>	<b>85.5</b>
<b>2006</b>	<b>3524</b>	<b>86.8</b>
<b>2007</b>	<b>3565</b>	<b>87.3</b>
<b>2008</b>	<b>3629</b>	<b>90.2</b>
<b>2009</b>	<b>3448</b>	<b>86.9</b>

## **ATTACHMENT I**

### **◆ Table of Organizations◆**